

Best Execution Solution



ACA's ComplianceAlpha® Best Execution Solution helps investment advisers meet their obligation to seek Best Execution of their clients' trade orders by evaluating not only the cost of services received from all their broker-dealers, but also the quality of those services.

Our solution provides a specific set of recommended tasks for conducting a compliant Best Execution review, then pulls both the quantitative and qualitative information together into a Best Execution report.

Benefits

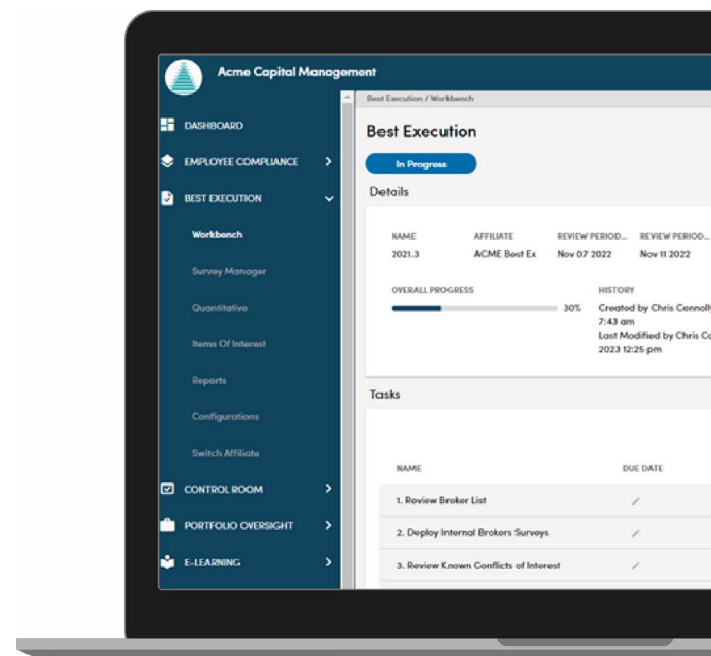
- » Allows clients to centralize and streamline their fiduciary duty to seek Best Execution, combining both the qualitative and quantitative factors required for a comprehensive quarterly report.
- » Integrates with ComplianceAlpha's Employee Compliance and Market Abuse Surveillance solutions to facilitate the exchange of critical data.
- » Enables clients to quickly identify potential conflicts of interest related to their Best Execution review.
- » Assist with reviewing Best Execution factors, such as Transaction Cost Analysis (TCA), broker surveys, conflicts of interest, soft dollar arrangements, and other agreements.
- » Reduces cost and time of ownership.

Key features

- » Workbench - A repository of tasks in a sequential order according to ACA's best practices on how and what to do to create a compliant Best Execution review.
- » Survey Manager - A flow to create custom broker surveys and manage the results.
- » Quantitative - Full TCA KPIs and other metrics to compare to surveys and other noted broker-related activities.
- » Items of Interest - Identification of relevant outliers during the Best Execution review.
- » Flexibility - Configurations for the Workbench, Broker List, and Survey Manager templates.



ACA's ComplianceAlpha® is the award-winning holistic monitoring and surveillance platform transforming risk and compliance management for over 1,000 leading financial services firms worldwide. By bringing together compliance activities, testing, and data in one platform, ComplianceAlpha provides risk and compliance officers with a unified view of risks and behavior across their firm.



For more information, contact us [here](#).

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